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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)

Declaration
Submitted
With Initial
Filing

OR

Declaration
Submitted after Initial
Filing (surcharge
(37 CFR 1.16 (e))
required)

Attorney Docket Number

2001-0756.00

First Named Inventor

Frank E. Anderson

COMPLETE IF KNOWN

Application Number

Filing Date

July 16, 2003

Art Unit

Examiner Name

I hereby declare that:

Each inventor's residence, mailing address, and citizenship are as stated below next to their name.

I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Improved Inkjet Printheads

(Title of the Invention)

the specification of which



is attached hereto

OR



was filed on (MM/DD/YYYY)

as United States Application Number or PCT International

Application Number

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

This collection of information is required by 37 CFR 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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DECLARATION — Utility or Design Patent Application

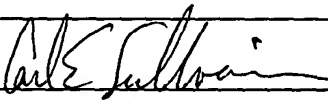
Direct all correspondence to: <input checked="" type="checkbox"/> Customer Number				21972		OR <input type="checkbox"/> Correspondence address below	
Name							
Address							
City				State		ZIP	
Country			Telephone			Fax	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.							
NAME OF SOLE OR FIRST INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Frank E.				Anderson			
Inventor's Signature						Date	
<i>Frank E. Anderson</i>						7-10-03	
Residence: City		State		Country		Citizenship	
Sadieville		KY				U.S.	
Mailing Address							
700 Davis-Turkeyfoot Rd.							
City		State		ZIP		Country	
Sadieville		KY		40370		U.S.A	
NAME OF SECOND INVENTOR:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Byron V.				Bell			
Inventor's Signature						Date	
<i>Byron V Bell</i>						7/10/03	
Residence: City		State		Country		Citizenship	
Paris		KY				40361	
Mailing Address							
741 Collins Rd.							
City		State		ZIP		Country	
Paris		KY		40361		U.S.A.	
<input checked="" type="checkbox"/> Additional inventors or a legal representative are being named on the <u>2</u> supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.							

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DECLARATION**ADDITIONAL INVENTOR(S)**

Suppl mental Sheet

Page 2 of 2

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Carl E.		Sullivan	
Inventor's Signature 		Date 7-10-03	
Residence: City Stamping Ground	State KY	Country	Citizenship U.S.
Mailing Address 289 Galloway Rd.			
Mailing Address			
City Stamping Ground	State KY	Zip 40379	Country U.S.A.
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature			
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country
Nam of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Inventor's Signature		Date	
Residence: City	State	Country	Citizenship
Mailing Address			
Mailing Address			
City	State	Zip	Country

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DECLARATION**ADDITIONAL INVENTOR(S)**

Supplemental Sheet

Page 1 of 2

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Paul A		Cook	
Inventor's Signature <i>Paul A Cook</i>		Date <i>7/10/2003</i>	
Residence: City Lexington	State KY	Country	Citizenship U.S.
Mailing Address 3446 Have Lock Cir.			
Mailing Address			
City Lexington	State KY	Zip 40503	Country U.S.A.
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
Robert W.		Cornell	
Inventor's Signature <i>Robert W. Cornell</i>		Date <i>7-10-03</i>	
Residence: City Lexington	State KY	Country	Citizenship U.S.
Mailing Address 4173 Palmetto Dr.			
Mailing Address			
City Lexington	State KY	Zip 40513	Country U.S.A.
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle (if any))		Family Name or Surname	
George K.		Parish	
Inventor's Signature <i>George K. Parish</i>		Date <i>7/10/03</i>	
Residence: City Winchester	State KY	Country	Citizenship U.S.
Mailing Address 11 Fontaine Blvd.			
Mailing Address			
City Winchester	State KY	Zip 40391	Country U.S.A.

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Applicati n Number	
Filing Date	
First Named Inventor	Frank E. Anderson
Title	Improved Inkjet Printheads
Art Unit	
Examiner Name	
Attorney Docket Number	2001-0756.00

I hereby appoint:

☒ Practitioners at Customer Number

21972

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☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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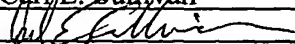
Fax

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Carl E. Sullivan
Signature	
Date	7-10-03
Telephone	502 535 9250

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 6 forms are submitted.

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First Named Inventor	Frank E. Anderson
Title	Improved Inkjet Printheads
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Examiner Name	
Attorney Docket Number	2001-0756.00

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<input type="checkbox"/> Firm or Individual Name				
Address				
Address				
City		State		Zip
Country				
Telephone		Fax		

I am the:

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☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Frank E. Anderson		
Signature	<i>Frank E. Anderson</i>		
Date	7-10-03	Telephone	(502) 857-4508

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

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Attorney Docket Number	2001-0756.00

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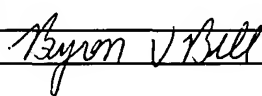
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SIGNATURE of Applicant or Assignee of Record

Name	Byron V. Bell		
Signature			
Date	7/10/03	Telephone	

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Title	Improved Inkjet Printheads
Art Unit	
Examiner Name	
Attorney Docket Number	2001-0756.00

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☐ Firm or
Individual Name

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Address

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I am the:

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Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).**SIGNATURE of Applicant or Assignee of Record**

Name Robert W. Cornell

Signature *Robert W. Cornell*

Date 7-10-03

Telephone

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Application Number	
Filing Date	
First Named Inventor	Frank E. Anderson
Title	Improved Inkjet Printheads
Art Unit	
Examiner Name	
Attorney Docket Number	2001-0756.00

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Name	Registration Number

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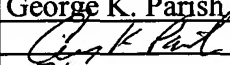
<input type="checkbox"/> Firm or Individual Name					
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☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	George K. Parish				
Signature					
Date	7/12/03			Telephone	859 232-5123

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Address				
Address				
City	State	Zip		
Country				
Telephone	Fax			

I am the:

☒ Applicant/Inventor.☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).**SIGNATURE of Applicant or Assignee of Record**

Name	Paul A. Cook		
Signature	<i>Paul A. Cook</i>		
Date	7/10/2003	Telephone	859-232-2035

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COPY INFORMATION FORM COVER SHEET
PATENTS ONLYU.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Frank E. Anderson
Byron V. Bell
Paul A. CookAdditional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: July 10, 2003

2. Name and address of receiving party(ies)

Name: Lexmark International, Inc.Internal Address: Intellectual Property LawStreet Address: 740 W. New Circle Rd.City: Lexington State: KY Zip: 40550Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 07/10/2003

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Scott N. BarkerInternal Address: Lexmark International, Inc.Intellectual Property LawStreet Address: 740 W. New Circle Rd.City: Lexington State: KY Zip: 405506. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

12-1213

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Scott N. Barker Reg. No. 42,292

Name of Person Signing

Signature

7/14/03

Date

Total number of pages including cover sheet, attachments, and documents: 4

**ATTACHMENT FOR RECORDATION FORM COVER SHEET
PATENTS ONLY (Form PTO-1595)**

1. Name of conveying party(ies):

Robert W. Cornell

George K. Parish

Carl E. Sullivan

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

Name and address of receiving party(ies)

Name: _____

Internal Address: _____

Street Address: _____

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached?

☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 07/10/03

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No